

Practitioner's Docket No. FORE-56

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Seth Redmore
Application No.: 09/356,086
Filed: July 16, 1999

Group No.: 2134
Examiner: Norman Wright

Mail Stop 16
Director of the U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR REFUND
(IMPROPER CHARGE OF DEPOSIT ACCOUNT)

I. REFUND REQUEST

This is a request for a refund, with respect to the charge to Deposit Account 19-0737, shown on the statement dated April 29, 2005, for the above-identified application.

A copy of the monthly statement, in which the error referred to occurs, accompanies this request.

II. FEES CHARGED FOR WHICH REFUND REQUESTED

| | AMOUNT OF REFUND REQUESTED |
|------------------------------|----------------------------------|
| Issue fee | \$1,400.00 |
| Other fee | |
| Printed copy of patent | \$30.00 |
| TOTAL REFUND REQUESTED | \$1,430.00 |

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. § 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

☐ deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

FACSIMILE

☒ transmitted by facsimile to the Patent and Trademark Office, (703) 308-6778.

Tracey L. Kluas
Signature

Date: 6/8/05

Tracey L. Kluas
(type or print name of person certifying)

Request for Refund (Improper Charge of Deposit Account)-page 1 of 2

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06/08/05 WED 15:41 [TX/RX NO 6819] 001

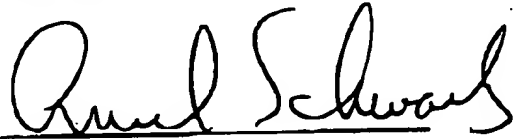
III. EXPLANATION OF WHY CONTESTED CHARGE IS IN ERROR

Applicant had enclosed a check with a Petition to Revive which included the issue fee payment, payment of patent copies and a petition fee. See attached copy of filing documents and return receipt card.

IV. MANNER OF REFUND

Please make refund by crediting Deposit Account No.: 19-0737.

Date:

6/8/05

Ansel M. Schwartz
Registration No. 30,587
Attorney at Law
201 N. Craig Street
Suite 304
Pittsburgh, PA 15213
412-621-9222

Request for Refund (Improper Charge of Deposit Account)-page 2 of 2

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UNITED STATES PATENT AND TRADEMARK OFFICE

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MONTHLY STATEMENT
OF DEPOSIT ACCOUNT

To replenish your deposit account, detach and
return top portion with your check. Make check
payable to Director of Patents & Trademarks.

ANSEL M SCHWARTZ
201 ONE STERLING PLAZA
SUITE 304
PITTSBURGH PA 15213

FINA

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| Account No. | 190737 |
| Date | 4-29-05 |
| Page | 1 |

PLEASE SEND REMITTANCES TO:
U. S. Patent and Trademark Office
P.O. Box 70541
Chicago, IL 60673

| DATE DEBITED | | | FUN NO. | INVENTOR (Serial, Patent, TM, Order) | DUCKET NO. | FEE CODE | CHARGES/ CREDITS | BALANCE |
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| MO. | DAY | YR. | | | | | | |
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| <p>The dating stamp of the Patent Office on this card will be taken as an indication that the accompanying paper was filed.</p> <p>Serial No. <u>09/156,086</u></p> <p>Paper Mailed <u>4/18/05</u></p> <p>Atty's File No. <u>FORE-56</u></p> | <p>PETITION TO REVIVE PATENT APPLICATION-FAILURE TO PAY ISSUE FEE UNINTENTIONAL (37 C.F.R. §§ 1.137(b) AND 1.316) + copy CK. #6592 for \$2,930.00 PART B - FEE(S) TRANSMITTAL + copy</p> <p>2005 APR 21 PM 3:15</p> <p>DIVISION</p> |
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Practitioner's Docket No. FORE-56

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Seth Redmore

Application No.: 09/356,086

Group No.: 2134

Filed: 07/16/1999

Examiner: Norman Wright

For: HARDWARE BASED SECURITY GROUPS, FIREWALL LOAD SHARING, AND FIREWALL REDUNDANCY

Mail Stop Petition

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

PETITION TO REVIVE PATENT APPLICATION—FAILURE TO PAY ISSUE
FEE UNINTENTIONAL (37 C.F.R. §§ 1.137(b) AND 1.316)

1. Applicant hereby petitions for the revival of this application.
2. Nature of the Abandonment

The undersigned has reviewed his/her records and noted that the issue fee for this application was not timely paid.

3. Date of Filing of This Petition After Abandonment

This petition is being filed within 3 months after the applicant was first notified of abandonment.

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10*

(When using Express Mail, the Express Mail label number is mandatory;
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

X deposited with the United States Postal Service in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

37 C.F.R. § 1.8(a)

X with sufficient postage as first class mail.

37 C.F.R. § 1.10*

☐ as "Express Mail Post Office to Addressee"

Mailing Label No. _____ (mandatory)

TRANSMISSION

☐ facsimile transmitted to the Patent and Trademark Office, (703) _____

Tracy L. Kleas
Signature

Date: 4/18/05

Tracy L. Kleas

(type or print name of person certifying)

* Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

Petition to Revive Patent Application—Failure to Pay Issue Fee Unintentional—page 1 of 3

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4. The delay in payment of the issue fee was unintentional. The entire delay from the due date in paying the required issue fees until the filing of this petition was unintentional. 37 C.F.R. § 1.137(b)(3).

5. Payment of the issue fee (37 C.F.R. § 1.137(b)(1):

Payment of the issue fee is enclosed.

Regular Patent Issue Fee \$1,400.00
Advance Order (10 copies) \$30.00

6. Petition fee (35 U.S.C. § 41(a)(7) and 37 C.F.R. § 1.17(m))

Application status is:

Other than small entity--fee \$1,500.00
Petition Fee \$1,500.00

Total issue and petition fee to be paid \$2,930.00

7. Payment of total fee:

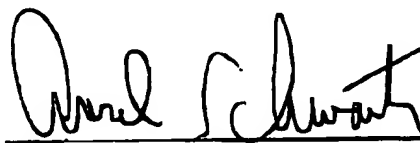
Attached is a check in the amount of \$2,930.00.

A duplicate of this petition is attached.

8. Terminal Disclaimer

This utility/plant application was filed on or after June 8, 1995 and no terminal disclaimer is required.

Date: 4/18/05

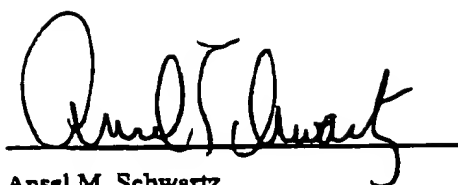


Signature of person making statement that
abandonment was due to unintentional delay

Ansel M. Schwartz
201 N. Craig Street, Suite 304
Pittsburgh, PA 15213

Date:

4/18/05



Ansel M. Schwartz
Registration No. 30,587
Attorney at Law
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Petition to Revoke Patent Application--Failure to Pay Issue Fee Unintentional--page 3 of 3

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PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
(703) 746-4000

or Fax

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01/14/2005

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| | |
|------------------------|--------------------|
| Tracey L. Klaas | (Depositor's name) |
| <i>Tracey L. Klaas</i> | (Signature) |
| April 18, 2005 | (Date) |

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 09/356,086 | 07/16/1999 | SETH REDMORE | FORE-36 | 7325 |

TITLE OF INVENTION: HARDWARE BASED SECURITY GROUPS, FIREWALL LOAD SHARING, AND FIREWALL REDUNDANCY

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE |
|------------------|--------------|-----------|-----------------|------------------|------------|
| nonprovisional | NO | \$1400 | \$0 | \$1400 | 04/14/2005 |
| EXAMINER | | ART UNIT | CLASS-SUBCLASS | | |
| WRIGHT, NORMAN M | | 2134 | 713-201000 | | |

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.563).
- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
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2. For printing on the patent front page, list
- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

Ansel M. Schwartz

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.111. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

Marconi Communications, Inc.

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Warrendale, Pennsylvania

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

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5. Change in Entity Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant, a registered attorney or agent, or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Ansel M. Schwartz

Date

4/18/05

Typed or printed name

Ansel M. Schwartz

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